

**Regulatory Commission Minutes**  
**October 23, 2003**  
**5:30 p.m.**

**Regular Session: Yes**

**Call to Order: Meeting was called to order at 5:48 p.m.**

**Commissioners Present: Julie Carver, Chairperson, Tim LaCroix, Acting Secretary/Treasurer**

**Commissioners Absent: Vacant seat**

**Guests: Vince Cook, Andrea Tompkins**

*Agenda Item 3: Additions: New Business –a)License Approvals-Waiver, (e)Customer Dispute. Old Business-(d)Drug Testing. Approval of Minutes-(a)October 9, 2003, (b) October 16, 2003. Licensing Report-3 Hearings.*

**Motion made by Tim LaCroix and supported by Julie Carver**

**To approve Agenda as amended.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 4: No phone polls made. No action needed*

**Motion made by Julie Carver and supported by Tim LaCroix**

**To approve the minutes of September 30, 2003, as presented.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 5a: Chairperson Carver gave a Verbal Report with a written to follow at next regular meeting. Highlights-Quarterly Report to Tribal Council and the questionable areas of concern: a) MICS Violation's, how were these addressed? b) Commission confusion based on Tribal Council Motions & Regulatory Ordinance c) Lack of By-laws and policies/procedures, d) Employee Handbook-model after government handbook, e) Vacancy on Commission-copy of resignation, f) Working with Gaming Administration to streamline procedure changes and approvals , g) Statistical spreadsheet to be developed for Quarterly Reports, h) Tribal Council requested 3<sup>rd</sup> Quarter report from Joseph Eve, i) T.C. requested a copy of full time commission survey.*

**Motion made by Tim LaCroix and supported by Julie Carver**

**To accept the Chairperson's verbal report.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 5b: Secretary/Treasurer questions the deficit of Commission Budget. Val Tweedie out of town and not available for clarification.*

**Motion made by Julie Carver and supported by Tim LaCroix**

**To accept the Secretary/Treasurer verbal report.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 6a: Director's Report-Highlights- 1)Surveillance Department Reports exclude abbreviation concerning Tribal Police. 2)Regulatory Handbook Disk. 3)Developing Mentoring Program and Contract for Surveillance Manager. 4)PTO-Need clarification of Government Holidays vs Regulatory Holidays, is there a council motion to use Government Holidays? 5)Surveillance report- casino procedures, majority of issues appear to be in table games, relating*

to: training or enforcement of policies by supervisors and staff. 6) Surveillance positions filled by all Tribal members. 7) Slot department withdrew slot machine storage. 8) Joseph Eve Seminar. Suggestions to Mr. Cook by Commissioner: Orientation participation and Observation in Cage as suggested by Shirley Oldman.

**Motion made by Julie Carver and supported by Tim LaCroix**

**To accept the verbal and written report of Vince Cook, Regulatory Director.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 6b: Licensing Manager's Report-Highlights- 1)Conflict between Interim Regulations, Ordinance and Compact. Key, Non-Key, Primary and Permits. Difficult from a licensing standpoint to do daily job. (Requested Andrea to attend next Tribal Council work session) 2)New system requires clarification of licensing. 3)Revenue will decline with new system. Clarification on Statistical Data reports monthly,*

**Motion made by Julie Carver and supported by Tim LaCroix**

**To accept the verbal report of Andrea Tompkins, Licensing Manager.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 6c: No report submitted. No action needed*

*Agenda Item 7a: Chairperson Carver stated that due to urgency of need and after confirmation from Mr. Cook that he reviewed Marketing Gift Certificates, approval was given to proceed with order. Commission reviewed certificates at previous review and tabled because Director did not sign off as being reviewed.*

*Agenda Item 7b: Chairperson Carver placed this item on the Agenda due to Gaming Administration Chairperson Patton's request to review a new set of policies by Food and Beverage. Suggestion made to Chairperson Patton to establish a criteria for policy changes which will ensure a well thought out procedure that will not require weekly changes. Procedures need to be written in a manner that will sustain longevity. The Commission members informed Director Cook that we were concerned with the number of cuts made to procedures which affect asset control. Cutting a procedure in order to cut violation reports is not solving problem but hiding it. Mr. Cook informed Commission that he discussed this same point with General Manager and accountability had to be addressed.*

*Agenda Item 7c: Chairperson Carver informed Commissioner LaCroix that letter requesting legal opinion had not been submitted to Tribal Council.*

*Agenda Item 7d: Regulatory Director presented questions raised by the Casino's Human Resources regarding drug testing. Need clarity on adoption of procedure vs policy and procedure of Casino Drug Testing. Create a document allowing Casino to conduct drug testing. Drug testing Regulatory Staff and Commissioners – HR suggest testing to be done by one of our staff members or off site. Question "Licensable", no wording specifying drug testing.*

*Agenda Item 4a: Commissioner LaCroix noted our oversight in approving minutes of October 9 and 16, 2003.*

**Motion made by Tim LaCroix and supported by Julie Carver**

**To approve the Minutes of October 9, 2003 with the following changes: Page Two, line 20, remove the "h" in Thompkins.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

**Motion made by Tim LaCroix and supported by Julie Carver**

**To approve the Minutes of October 16, 2003 with no changes.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**

**Motion Carried**

*Agenda Item 10a:*

**Motion made by Julie Carver and supported by Tim LaCroix**

**To approve the 4 key and 2 non-key licenses from temporary status to permanent.**

**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**  
**Motion Carried**

**Motion made by Julie Carver and supported by Tim LaCroix**  
**To schedule license hearing for Saturday, October 25, 2003 at 10:00 a.m. and pending further notice, second case to be scheduled at 11:00 a.m.**  
**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**  
**Motion Carried**

*Agenda Item 10b: Item covered in Directors Report. No action taken*

*Agenda Item 10c: Item covered in Directors Report. No action taken*

*Agenda Item 10d: Item covered in Directors Report. No action taken*

*Agenda Item 10e: Customer dispute. Letter & Tape Dub available for review. Determination. Send letter stating correct cage count. Customer paid correct amount.*

*Andrea reminded Commission that Waiver issue was not addressed. Is a waiver needed when one was issued previously. Clarification, no need to issue a second waiver for the same charge.*

*The next Regular Scheduled meeting to occur on October 30, 2003.*

**Motion made by Tim LaCroix and supported by Julie Carver**  
**To adjourn meeting at p.m.**  
**Vote: 2-Yes, 0-No, 0-Absent, 0-Abstained**  
**Motion Carried**

**These Minutes have been read and approved as written:**  
**Approved:**

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Tim LaCroix, Acting Commission Secretary/Treasurer

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Date